

05/06/98



36572 U.S. PRO

PATENT

Docket No. 2016-4000 USS

Express Mail Label No. EI086332546US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

UTILITY APPLICATION AND FEE TRANSMITTAL (1.53(b))

#2/a  
10/19/98

ASSISTANT COMMISSIONER FOR PATENTS

Box Patent Application  
Washington, D.C. 20231

Sir:

Transmitted herewith for filing is the patent application of

First named Inventor

or Application Identifier: RALPH M. STEINMAN, ET AL.

For:

METHOD FOR IN VITRO PROLIFERATION OF DENDRITIC CELL

PRECURSORS AND THEIR USE TO PRODUCE IMMUNOGENS

Enclosed are:

☒ 89 page(s) of specification, 1 page(s) of Abstract, 11 page(s) of claims

☒ 26 sheets of drawing ☐ formal ☒ informal

☒ 5 page(s) of Declaration and Power of Attorney

☐ Unsigned

☐ Newly Executed

☒ Copy from prior application

☐ Deletion of inventors including Signed Statement under 37 C.F.R. § 1.63(d)(2)

☒ Incorporation by Reference: The entire disclosure of the prior application, from which a copy of the combined declaration and power of attorney is supplied herein, is considered as being part of the disclosure of the accompanying application and is incorporated herein by reference.

☐ Microfiche Computer Program (Appendix)

☐      page(s) of Sequence Listing

☐ computer readable disk containing Sequence Listing

☐ Statement under 37 C.F.R. § 1.821(f) that computer and paper copies of the Sequence Listing are the same

05073596-050698

- ☐ Certified copy of Priority Document(s)
- ☐ English translation documents
- ☐ Information Disclosure Statement
- ☐ Copy of \_\_\_ cited references
- ☒ Preliminary Amendment
- ☒ Return receipt postcard (MPEP 503)
- ☐ Assignment Papers (assignment cover sheet and assignment documents)
- ☐ A check in the amount of \$40.00 for recording the Assignment.
- ☒ Assignment papers filed in parent application Serial No. 08/458,230
- ☐ Certification of chain of title pursuant to 37 C.F.R. § 3.73(b).
- ☒ This is a ☐ continuation ☒ divisional ☐ continuation-in-part (C-I-P) of prior application serial no. 08/458,230
- ☒ Cancel in this application original claims 2-20, 22-38, 58-63 of the parent application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)
- ☒ A Preliminary Amendment is enclosed. (Claims added by this Amendment have been properly numbered consecutively beginning with the number following the highest numbered original claim in the prior application.
- ☒ The status of the parent application is as follows:
- ☐ A Petition For Extension of Time and a Fee therefor has been or is being filed in the parent application to extend the term for action in the parent application until \_\_\_\_\_
- ☐ A copy of the Petition for Extension of Time in the co-pending parent application is attached.
- ☒ No Petition For Extension of Time and Fee therefor are necessary in the co-pending parent application.
- ☐ Please abandon the parent application at a time while the parent application is pending or at a time when the petition for extension of time in that application is granted and while this application is pending has been granted a filing date, so as to make this application co-pending.
- ☐ Transfer the drawing(s) from the parent application to this application.
- ☒ Amend the specification by inserting before the first line the sentence:  
This is a ☐ continuation ☒ divisional ☐ continuation-in-part of co-pending application Serial No. 08/458,230 filed June 2, 1995

I. CALCULATION OF APPLICATION FEE

	Number Filed	Number Extra	Rate	Basic Fee
Total				\$ 790.00
Claims	47	-20 = 27	x	\$22.00 \$ 594.00
Independent				
Claims	3	-3 = 0	x	\$82.00 \$ 0
Multiple Dependent Claims				
		[ x ] yes Additional fee =	\$270.00	\$ 270.00
		[ ] no Additional fee =	NONE	

Total: \$ 1654.00

[ X ] A statement claiming small entity status is attached or has been filed in the above-identified parent application and its benefit under 37 C.F.R. § 1.28(a) is hereby claimed. Reduced fees under 37 C.F.R. § 1.9(F) (50% of total) paid herewith \$ 733.00.

[ X ] A check in the amount of \$ 733.00 in payment of the application filing fees is attached.

[ X ] Charge Fee(s) to Deposit Account No. 13-4500. Order No. 2016-4000US\$. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

[ X ] The Assistant Commissioner is hereby authorized to charge any additional fees which may be required for filing this application, or credit any overpayment to Deposit Account No. 13-4500. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

Respectfully submitted,

MORGAN & FINNEGAN, L.L.P.

By:   
Kenneth H. Soffenfeld  
Registration No. 33,285

Dated: May 6, 1998

CORRESPONDENCE ADDRESS:

Morgan & Finnegan L.L.P.  
345 Park Avenue  
New York, New York 10154  
(212) 758-4800  
(212) 751-6849 Facsimile

FORM: UTL-TRAN.NY  
Rev. 1/16/98

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Ralph M. Steinman, et al.  
Serial No. : To Be Assigned  
Filed : May 6, 1998  
For : METHOD FOR IN VITRO PROLIFERATION OF DENDRITIC CELL  
PRECURSORS AND THEIR USE TO PRODUCE IMMUNOGENS

EXPRESS MAIL CERTIFICATEExpress Mail Label No.: EI086332546USDate of Deposit: May 6, 1998

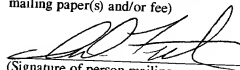
I hereby certify that the following attached paper(s) and/or fee

1. Utility Application and Fee Transmittal (1.53(b)) (in duplicate);
2. Copy of originally filed parent application (89 pages of specification, 1 page Abstract, and 11 pages of claims);
3. 26 sheets of informal drawings (Figs. 1-18C);
4. Declaration and Power of Attorney from parent application;
5. Preliminary Amendment;
6. Check in the amount of \$733.00 (filing fee); and
7. Return postcard.

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

ISRAEL FUENTES

(Typed or printed name of person  
mailing paper(s) and/or fee)

  
(Signature of person mailing  
paper(s) and/or fee)

## CORRESPONDENCE ADDRESS:

MORGAN & FINNEGAN, L.L.P.  
345 Park Avenue  
New York, New York 10154  
(212) 758-4800  
(212) 751-6849 Facsimile

FORM: EXP-MAIL.NY  
Rev. 11/13/97

03071906 0100000